



# MANAGE UNCERTAINTY WITH OUR COMPREHENSIVE RISK MANAGEMENT SOLUTIONS

WE PROVIDE YOU WITH THE KNOWLEDGE  
YOU NEED TO AVOID BAD INVESTMENTS!

CRI® Group offers a wide range of background and investigative research, business intelligence and compliance solutions that will aid you, including:

- ✓ Pre-Employment Screening
- ✓ Anti-money laundering
- ✓ Insurance Fraud Investigations
- ✓ Financial Investigations
- ✓ IP Infringement
- ✓ Fraud Risk Investigations
- ✓ Integrity Due Diligence
- ✓ Anti-corruption & Regulatory Investigations
- ✓ Third-Party Risk Assessment (TPRM)
- ✓ Internal Investigations & Conflict of Interest
- ✓ Vendor & Third-Party Screening
- ✓ Forensic Accounting & Investigations
- ✓ ISO 37001 & ISO 37301 Certification & Training

# TAKE CONTROL OF YOUR BUSINESS' FUTURE

The international nature of business today dictates an increasing demand for proactive measures such as global investigations, compliance and risk management solutions to reduce the exposure to organisations of economic crime and civil wrongs, particularly in the financial, government and multinational business sectors. Are you making informed, sound decisions regarding M&A, strategic partnerships and selection of employees, vendors or suppliers?

We are always ready to assist you in effectively managing your workplace in an efficient and risk-free manner that best suits your needs and business. Our experience base, skilled workforce, technical resources, networking capabilities, internal flexibility and global offices maximise our solution efficacy.

Since 1990, Corporate Research and Investigations Limited "CRI® Group" has safeguarded businesses from fraud and corruption, providing insurance fraud investigations, employee background screening, investigative due diligence, third-party risk management, compliance and other professional investigative research services. CRI® Group's expertise will add to the diverse pool of business support services available within your region.

LET'S TALK!

- ✓ CRI® has one of the largest, most experienced & best-trained integrity due diligence teams in the world.
- ✓ We have a flat structure which means that you will have direct access to senior members of staff throughout the due diligence process.
- ✓ Our multi-lingual teams have conducted assignments on thousands of subjects in over 80 countries, & we're committed to maintaining & constantly evolving our global network.
- ✓ Our solutions are easily customisable, flexible & we will tailor our scope to address your concerns & risk areas; saving you time & money.
- ✓ Our team of more than 50 full-time analysts is spread across Europe, Middle East, Asia, North & South America & is fully equipped with the local knowledge to serve your needs globally.
- ✓ Our extensive solutions include due diligence, employee pre & post background screening, business intelligence & compliance, facilitating any decision-making across your business no matter what area or department.

→ For more on us just check out our website or [click here!](#)



## DEBUGGING FEARS THAT PARALYSE FRAUD PREVENTION

Often, an organisation doesn't put a robust process until it's in the news with a violation, an FCPA incident or an internal case of undetected embezzlement that might have gone on for years. But why? Why wouldn't companies adopt a more proactive stance for early detection as money walks out the door?

The answer is fear. Fear can prevent a mom-and-pop shop or a Fortune 500 industry leader from becoming serious about fighting fraud. Read more about the fear factor that plays into the decision — or indecision — to fight fraud.

[READ MORE](#)

Based in London, United Kingdom, CRI® is a global company with experts and resources located in key regional marketplaces across the Asia Pacific, South Asia, the Middle East, North Africa, Europe, North and South America. Our global team can support your organisation anywhere in the world.

We are a leading Compliance and Risk Management company licensed and incorporated entity of the Dubai International Financial Center (DIFC), Abu Dhabi Global Market (ADGM) and Qatar Financial Center (QFC). CRI® protects businesses by establishing the legal compliance, financial viability, and integrity levels of outside partners, suppliers and customers seeking to affiliate with your business.

→ [Learn more about the "Top Risk Management Concerns and The Need for Leadership During COVID-19."](#)

[GET A FREE QUOTE NOW!](#)



### INVESTIGATIVE RESEARCH

ANTI-CORRUPTION & REGULATORY INVESTIGATIONS  
ASSET SEARCH & RECOVERY  
FRAUD RISK & INSURANCE INVESTIGATIONS  
IP INFRINGEMENT INVESTIGATIONS  
INTERNAL INVESTIGATIONS & CONFLICT OF INTEREST  
FINANCIAL INVESTIGATIONS & FORENSIC ACCOUNTING



### BUSINESS INTELLIGENCE

MARKET RESEARCH & ANALYSIS  
COMMERCIAL INVESTIGATIONS



### COMPLIANCE SOLUTIONS

INVESTIGATIVE DUE DILIGENCE  
CORPORATE SECURITY & RESILIENCE  
THIRD-PARTY RISK ASSESSMENT  
ANTI-MONEY LAUNDERING  
INTEGRITY DUE DILIGENCE

**DueDiligence360™**  
Partners to TRUST



### BACKGROUND INVESTIGATIONS

VENDOR & 3RD PARTY SCREENING  
PERSONNEL VETTING & PRE-EMPLOYMENT SCREENING  
EMPLOYEE INTEGRITY DUE DILIGENCE

**EMPLOYSMART™**  
Smarter Background Checks Today for a Better Workforce Tomorrow



### CERTIFICATION & TRAINING

ISO 37001 ANTI-BRIBERY & ANTI-CORRUPTION MANAGEMENT SYSTEMS  
ISO 37301 COMPLIANCE MANAGEMENT SYSTEMS  
ISO 31000 RISK MANAGEMENT SYSTEMS  
ISO 37002 WHISTLEBLOWING MANAGEMENT SYSTEMS  
ISO 37000 GUIDANCE FOR THE GOVERNANCE OF ORGANISATIONS  
ANTI-MONEY LAUNDERING

**ABAC** ANTI-BRIBERY  
ANTI-CORRUPTION  
CENTER OF EXCELLENCE

Global Leader in Risk Management,  
Background Screening & Due Diligence Solutions



## WHAT YOU DON'T KNOW CAN HURT YOU

Background screening investigations are critical to any company's success. Working with qualified, honest and hard-working employees and other businesses is an integral part of thriving in the business community. What you don't know can hurt you, and the simple act of one bad decision can result in an unprecedented loss for your company.

From vendor and third-party screening to employment screening, CRI® recommends background screening investigations as key proactive measures to help keep your business safe. An effective background screening investigation will help screen for bad apples that can cause havoc down the road.

### ADDRESS RISK WITH EMPLOYEE BACKGROUND CHECKS

There are inherent risks in the hiring process, including fraudulent claims by candidates. These include everything from relatively minor transgressions, like stretching employment dates, to severe and concerning deceptions, such as claiming unearned degrees or credentials or hiding one's criminal record. Being aware of these risks is only the first step, and companies that don't take steps to address them, such as through comprehensive background checks as part of their hiring policies, are putting themselves in peril.

Several case studies have shown companies learning this lesson the hard way. **For more on employee background investigations, go to page 16.**

### IS YOUR THIRD-PARTY RISK MANAGEMENT (TPRM) STRATEGY EFFICIENT?

Here is what can happen if you are the victim of a failed partnership:

- You can lose large sums of money, in an incredibly short period, in case your collaborator disrespects terms;
- Along with the money, you will also start losing customers, disappointed by delays, poor quality, and unreliability, making your reputation suffer as well;
- The entire supply chain will face severe unbalances if one of the third-party providers fails to respect his duty. And getting it back on track can consume a lot of time and resources because, in the absence of an emergency plan, things will not be solved rapidly;
- Data can leak from your network because the security that is intended to prevent these things from happening is poor and not managed correctly;
- And there are always the litigation risks, corruption, and malpractices that come up in poor business practices.

**As a business manager, are you ready to tackle these mentioned risks? For more on TPRM investigations go to page 14.**

### RISK MANAGEMENT & ITS CONTINUOUS IMPROVEMENT

Without a company culture strongly aligned with principles of continuous improvement, organisations will struggle to implement, let alone maintain, successful risk management programs. This can be challenging in practice, as cultivating a risk management attitude within a company involves aligning risk initiatives with existing company values, policies and, to put it simply, convincing everyone involved that risk management is worthwhile. However, improving risk culture is possible, and, like many things, it becomes a lot easier when you have a process for it.

→ [CLICK HERE](#) and explore all three phases of risk management process and its continuous improvement

## A WORLDWIDE LEADER IN BACKGROUND SCREENING INVESTIGATIONS

Our team has tremendous experience with foreign operations, and we have direct contacts in many foreign countries. Because we maintain a diverse talent base comprised of multilingual and multi-cultural professionals, CRI® can traverse obstacles that often impede international background investigations. We are frequently contracted by our competitors to conduct background screening investigations in geographic regions not serviced or accessible by larger investigative firms.

Background screening firms worldwide use CRI® to conduct background screening investigations in remote areas across the globe, where we can produce quality results that meet the constraints of tight timeframes and restricted budgets.

**GET A FREE QUOTE NOW!**

**AN EFFECTIVE BACKGROUND INVESTIGATION WILL HELP SCREEN FOR BAD APPLES THAT CAN CAUSE HAVOC DOWN THE ROAD.**

→ For more on background investigations and other related resources, check out our website.

**READ MORE**



## WHY IS IT IMPORTANT?

Generally, the scope of potential compliance risks can be broad and includes different legal topics, such as anti-corruption regulations, bribery, procurement law or European and national data protection regulation. Taking preventative measures can feel like a hassle upfront, but it can save your organisation untold costs in the long run. Corporate compliance violations can result in fines, penalties, lawsuits, loss of reputation, and more. Keep your business from learning the lesson the hard way. If you're ready to take control of compliance and protect your business from risk, learn more about CRI® today and discover how we can help your corporate compliance program.

[GET A FREE QUOTE NOW!](#)

## HOW CAN YOUR BUSINESS MANAGE COMPLIANCE AND MITIGATE RISK?

Corporate compliance should be an essential part of your business operations, regardless industry or size.

### MANAGE YOUR THIRD-PARTY RISKS CONFIDENTLY

Under the Bribery Act 2010, British-based organisations must conduct due diligence on their third parties as to the core principle of meeting the requirement of the adequate procedure. Manage your third-party risks confidently with customised 3PRM™ solutions or get certified.

[GET A FREE QUOTE NOW!](#)

### DUE DILIGENCE COMPLIANCE

Our Due Diligence 360° services provide the specialised intelligence needed by global financial institutions and multinational corporations to guarantee complete compliance, including with anti-money laundering (AML) regulations and legislations.

[GET A FREE QUOTE NOW!](#)

### ISO 37301:2021 COMPLIANCE MANAGEMENT SYSTEM CERTIFICATION & TRAINING

So many global and regional laws and regulations, but so few resources are available to ensure compliance - ensure good governance and transparency with ISO 37301 Compliance Management System.

ISO 37301 is a Type A standard and is certifiable. If your organisation performs regular risk assessments, you have probably noticed that corporate compliance consistently ranks as one of the most significant risks. The implementation and certification of a robust compliance program can help you maintain integrity and ensure compliance with all applicable rules and regulations in a systematic, structured and pro-active manner.

CMS helps organisations to comply with the legislation that is applicable to them and with the commitments assumed with their stakeholders. Our ABAC® Center of Excellence partner offers ISO 37301 certification and training; for more, go to page 20.

[GET A FREE QUOTE NOW!](#)

## YOUR ADVANTAGE AT A GLANCE WHEN YOU PICK OUR COMPLIANCE SOLUTION:

- 1** One-stop-shop compliance solution
- 2** Control company risks
- 3** Transparency, efficiency, & planning reliability
- 4** Competitive advantages & well established reputation through high level of compliance
- 5** Automated, documented & audited-validated business processes
- 6** Proof of compliance due diligence
- 7** Full service corporate compliance & risk

**OUR COMPLIANCE SOLUTIONS ARE FLEXIBLE & CAN APPLY DIFFERENT LEVELS OF SCRUTINY TO THE SUBJECTS OF OUR ASSIGNMENTS, ACCORDING TO CLIENT NEEDS AND THE NATURE OF THE PROJECT.**

→ For more on our Compliance Solutions contact us now!

[GET A FREE QUOTE NOW!](#)

# INVESTIGATIVE SOLUTIONS

- ✓ FRAUD RISK INVESTIGATIONS
- ✓ INSURANCE INVESTIGATIONS
- ✓ IP INFRINGEMENT INVESTIGATIONS
- ✓ INSURANCE INVESTIGATIONS
- ✓ FINANCIAL INVESTIGATIONS
- ✓ INTERNAL INVESTIGATIONS & CONFLICT OF INTEREST
- ✓ ASSET SEARCH & RECOVERY
- ✓ FRAUD RISK INVESTIGATIONS
- ✓ ANTI-CORRUPTION & REGULATORY INVESTIGATIONS

## CORPORATE CRIMINALS ARE MORE SOPHISTICATED THAN EVER.

Are you protected?

Ensuring you have peace of mind and that your staff is operating within the law and that you aren't at risk of any external investigations. You need to know what is going on within your business to operate effectively. Equally important is to know with whom you're working, at all times - it's about having eyes and ears everywhere.

Whether this means having fraud risk investigations conducted internally to check nothing suspicious is occurring or performing insurance investigations into anyone who is claiming against you, the goal is to protect the stability and security of your business while also saving you money.

If you perform high-risk business decisions, you need forensic accounting before signing over any assets or making any deals. If you were to buy a business or invest in a business that hadn't had this level of investigation, there's no telling what you would find hidden in the company once you had taken it on. It could be a potential minefield of disasters.

Our team of experts can help safeguard your business from unseen threats such as employee fraud, compliance issues, third-party risk factors and other concerns that can quickly — and severely — impact any organisation in any part of the world.

**GET A FREE QUOTE NOW!**



Scan for a free quote on Investigative Solutions or go to: [crigroup.com/investigative-solutions](http://crigroup.com/investigative-solutions)

[f](#) [t](#) [in](#) [v](#) [o](#) [e](#) info@crigroup.com



## FRAUD RISK INVESTIGATIONS

CRI® Group's global team of Certified Fraud Examiners (CFEs) are highly trained in uncovering fraud. From cases including money laundering, embezzlement, cash theft, asset misappropriation, bribery and corruption, contract and procurement fraud, our investigators have seen it all – and they have helped organisations around the world get to the bottom of suspected cases of fraud.

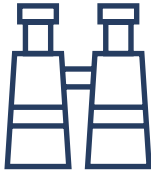
In any situation where fraud is suspected, experts must be brought in as soon as possible to help unravel the facts of the case. There are various laws (depending on the country and jurisdiction) that govern the rules of gathering evidence and interviewing witnesses. Also, any mishandled or collected evidence improperly can negatively impact an investigation and hurt the chances of a resolution.

At CRI®, our goal is to uncover the facts – and if fraud has occurred, we work with you to find the best solution and attempt to recover the ill-gotten funds for your organisation.

**GET A FREE QUOTE NOW!**

**FULL BROCHURE**





## ASSET RESEARCH & RECOVERY

One of the most challenging tasks facing any organisation that has fallen victim to fraud, **white-collar crime or corruption that leads to a financial loss is how to recover the stolen funds.** This is a task best suited to experts who can trace assets and help you locate and recover funds and limit your financial damage.

The globalisation of business has helped facilitate the movement of assets, and funds can be transferred around the world instantaneously. Unfortunately, it is relatively easy to set up complex corporate structures to hide the true ownership of assets. Our investigators are trained to uncover hidden funds and assets and follow the money trail no matter how complex it may be.

**Whether taking legal action to recover property from a former business partner, seeking the return of funds misappropriated by corrupt government officials or trying to enforce a legal judgment awarding financial damages, our experts will work on your behalf to trace your funds or assets.**

**GET A FREE QUOTE NOW!**



## INTERNAL INVESTIGATIONS & CONFLICT OF INTEREST

**Conflict of Interest happens when an individual or company has interests that might influence or could be perceived as capable of influencing the judgment even unconsciously. Investigating conflicts of interest is “checking whether the subject has any additional businesses or interests that conflict with what they were retained to do”.**

Following a fraud risk assessment, CRI® can help implement internal controls and monitoring procedures at your organisation. By having proactive measures in place, your company can focus on your core business practices, assured in the knowledge that fraud prevention controls are doing their work to protect your assets and investment.

While working directly with your key personnel to lead and conduct internal investigations, including

your board of directors, audit committee, ethics and compliance officers, general and in-house counsel, corporate security, human resources, and C-level executives, CRI® will:

- Ensure that executive management and boards are fully informed
- Expose the crimes while fortifying internal controls
- Exemplify the company's good-faith attempt to address internal crime
- Protect senior management and boards against outsider or shareholder allegations.
- Promote a culture of transparency and compliance to the organisation's employees.
- Corporate Fraud Investigations
- Corporate Crime Investigations
- Locate Implicated Present & Former Personnel
- Locate Personal Assets to Recover Company Property Process Serving
- Insurance Fraud Investigation
- Surveillance Support
- Intellectual Property Investigations
- Risk Management Services
- Corporate Security Services

**CRI® Group's Corporate Internal Investigation Services Include:**

Once internal controls are in place, they must be monitored, and results must be tracked. Our team will help you establish benchmarks and gauge your results to know if your internal controls are working properly. Adjustments can be made to ensure that your organisation has the full measure of risk protection possible.

**GET A FREE QUOTE NOW!**

**CONFLICT OF INTEREST BROCHURE**



## COMMERCIAL INVESTIGATIONS

**Are you worried about Employee Theft, Company Fraud, competition, constant absenteeism or Industrial Espionage?**

As a business, you have every right to worry about what is happening inside your company and, therefore, conduct commercial investigations on your employees. Our team can help you ethically do this and will provide you with the information you so desperately seek.

Commercial investigations can be completed for any type of business, including commercial, industrial, legal and public sector companies—our team hail from a range of different backgrounds, including military and fraud investigators.

**Warning signs might include:**

- Individuals with large debts who may be looking for personal gain
- Transactions that take place at unusual times, with odd amounts of money going to conspicuous recipients
- Missing documents with only photocopies available
- Missing documents with only photocopies available
- Alterations and backdating on documents
- Employees that control a process from start to finish with nobody else involved
- Discrepancies in accounting records

→ Our Commercial Investigations are part of our Business Intelligence Solution; find out [more here!](#)

**GET A FREE QUOTE NOW!**



## IP INFRINGEMENT INVESTIGATIONS

Intellectual property (IP) theft is a serious threat to any business. Unfortunately, trying to counteract it can be a slow and expensive process. The best plan is to bring in an investigative firm that can aggressively pursue your IP rights on your behalf at a time like this.

When it comes to protecting your brand, nothing is more important. Let CRI® help safeguard your most valuable corporate asset. Working alongside a global network of anti-counterfeit investigators, consultants, advisers and industry groups, CRI® will help you protect your Intellectual Property (IP) and branding elements. These images are unique to your organisation, content and products that others might seek to profit from.

CRI® utilises a variety of techniques, analytics and global technology to investigate intellectual property infringements, including the use of international counterfeiting seizure maps and international anti-counterfeiting directories. Our strategic partnerships with IP firms worldwide ensure that leads are identified and investigated and claims are settled expeditiously.

**As an integral member of the ICC Counterfeiting Intelligence Bureau, CRI® investigators are specially trained to protect the brand equity and customer loyalty you've built by providing professional assistance in the areas of:**

- Trade secret breaches
- Data breaches & IP leaks
- Patent investigations
- Theft of proprietary customer data
- Copyright abuse
- Unauthorised use of trademarks
- Counterfeit & pirated products
- Threats to brand integrity & reputation
- Brand imitation & product copying
- Global counterfeiting investigations
- Brand, media and internet monitoring services
- Litigation support

**GET A FREE QUOTE NOW!**



## CORPORATE SECURITY & RESILIENCE

In a rapidly changing world where information has a significant value, supply chains are interconnected, and there is uncertainty when doing business globally, corporate security and resilience have become a board-level issue. The leaders of government and commercial organisations around the world are asking the following questions:

- How do we manage the risks to digital and physical assets?
- Does the organisation have the appropriate controls and contingency plans to protect our business?
- How quickly can we respond to a serious business crisis?
- Can the organisation rely on our third-party business partners to maintain appropriate levels of control?

Corporate security and resilience could never be more important than today. Increased media attention highlights the growing frequency of cyber-attacks, supply chain disruption and high consequence events such as natural disasters, insider attacks and fraud, ensuring that security and resilience are set to remain core elements of good corporate risk management both within the public and private sector.

CRI® Group's experts can help you determine your security and resilience levels and develop controls to help manage and lower risks. Our agents are trained to find security weaknesses and help provide you with the solutions you need to deal with them. Your organisation will be more secure and poised to handle unseen threats with stronger corporate security and resilience.

**GET A FREE QUOTE NOW!**



## FORENSIC ACCOUNTING

When financial pressure leads to fraud, you need a qualified forensic accountant – or better yet, a team of them – to unravel the numbers and the facts of the case. It is a specialised skill for a task that experts should only conduct. CRI® Group's highly qualified forensic accountants, including our Certified Fraud Examiners (CFEs), can certify your books, track potential fraud, and ensure that your company is safely moving forward.

The future of your business depends on safeguarding assets and investments from fraud. Forensic accounting experts can quickly find discrepancies in your finances and investigate the source of the problem. Ask how CRI® can help your business by preventing and detecting fraud — thereby improving your bottom line.

Most importantly, only forensic accountants are trained to provide the accounting, auditing and investigative skills that are suitable for court – should your case proceed to a level of legal action, the involvement of forensic accountants in the case from the beginning will be critical to reaching a successful legal resolution. CRI® Group's forensic accounting experts have the ability to gather and present evidence and testify about the case in a way that conforms to legal requirements and courtroom standards.

→ Forensic accountants are experts with a unique set of training and skills. Read more about [“How forensic accountants lead the fight against fraud!”](#)

**GET A FREE QUOTE NOW!**



## INSURANCE FRAUD INVESTIGATIONS

CRI® Group's investigations cover insurance fraud cases, from healthcare fraud to disability and even fake death claims. CRI® agents bring their investigative training to careful use in every engagement, protecting your confidentiality while uncovering the facts of the case. Trained to look for the tell-tale signs of fraud: our experts will look at the claims, medical and hospital records, conduct interviews, examine statements and documents, and perform on-site inspections.



→ This [FREE ebook](#) examines the problem of insurance fraud, including its pervasiveness and general characteristics in the US, the UK and the world. It provides the tools and knowledge needed to effectively combat insurance fraud. Taken as a whole, this ebook is the perfect primer for any insurance fraud professional and companies looking to avoid becoming victims of insurance fraud claims.



→ Insurance fraud is a global problem. It represents a serious crime that can have serious consequences for fraudsters victims. Moreover, every type of insurance is vulnerable. This [FREE ebook](#) examines two insurance fraud case studies, revealing how they were discovered and what went wrong.

**CRI® handles several forms of investigations, including, but not limited to:**

- Factual Claims Investigations
- Witness Statements
- Workers Compensation
- Background Investigations
- Disability Claims
- Asset Searches
- Motor Vehicle Injury Claims
- Liability Claims
- Medical Audits and Clinic
- Investigations
- Medical Fraud Investigations
- Property Claims | Death Verifications
- Travel Claims
- SIU Services
- Fraud Investigations
- Activity Checks

→ [Read more](#) on Insurance Fraud Investigations

**GET A FREE QUOTE NOW!**



## ANTI-MONEY LAUNDERING CONSULTING & ADVISORY SERVICES

The specialists in the Anti-Money Laundering (AML) Advisory Services division of CRI® have provided support and assistance with AML issues for more than three decades. These services help an organisation:

1. **Meet international & regional AML compliance requirements**
2. **Navigate various regional AML legislation & laws**
3. **Identify high-risk individuals & avoid associations with potential money launderers**

Our experts have studied the countless tactics and behaviours money launderers exhibit — trafficking, counterfeiting, corruption, third-party fraud or theft/diversion by insiders — and bring the highest level of competence and proficiency in our ability to execute effective detection and compliance programmes for our clients.

**ADDRESS BRIBERY & CORRUPTION with ISO certification and training**

**ISO 37001:2016 Anti-Bribery Management System is offered under CRI® Group's ABAC® Centre of Excellence**, an independent certification body established for Anti-Bribery Management System training and certification, Compliance Management System and Risk Management System certification. The program will be tailored to your organisation's needs and requirements. For assistance in developing and implementing a fraud prevention strategy, [contact ABAC® today!](#)

**GET A FREE QUOTE NOW!**



## FINANCIAL INVESTIGATIONS

CRI® understands that corporate fraud can often be a complex crime, requiring a high degree of investigative expertise to ensure that evidence is gathered and handled correctly, interviews are conducted effectively, and laws are carefully followed in the investigation.

**CRI® is working with companies worldwide, conducting corporate investigations that have pertained to a variety of criminal activities, including:**

- Accounting Fraud
- Corporate Fraud
- Asset Misappropriation
- Theft of Physical Assets
- Data Manipulation or Data Theft
- Internal & External Corruption
- Drug Use in The Workplace
- Embezzlement
- Conflicts of Interest
- Kickback Schemes
- Personal Threats or Sexual Harassment
- Expense Manipulation
- Procurement Fraud
- Violations of Codes of Conduct
- Third-Party Fraud
- Mistreatment of Staff
- Whistle-Blower Complaints
- Intellectual Property Infringement

→ CRI® has recently published an ebook "[The Catalysts for Economic Crime](#)" that provides insightful looks into today's issues at the forefront of fraud and corruption. This ebook provides an in-depth study of transnational financial crimes and the national laws and regulations.



## WHAT ARE THE TYPES OF DUE DILIGENCE?

The scope of the due diligence review, which is always determined in conjunction with you, depends on the size and scale of the transaction and the surrounding risks.

There are multiple types of due diligence that provide businesses with the confidence and knowledge to get exactly what they want. Certain types of due diligence will enable companies to spot issues before they cause harm, whilst others provide valuable information on the true cost of a business transaction. Due diligence procedures enable companies to gain knowledge to empower their business decisions:

- ✓ New **vendor or a supplier** due diligence
- ✓ Hiring a **new employee** due diligence
- ✓ Due diligence on **current employees**
- ✓ **M&A** due diligence
- ✓ **Buying** a company due diligence
- ✓ **Financial** due diligence
- ✓ **Customer** due diligence
- ✓ **Commercial** due diligence
- ✓ **Third Party** due diligence

The most effective due diligence processes maintain close co-operation across the main categories: financial, commercial, M&A, customer, employee and vendor/supplier due diligence. This integrated approach to due diligence pays dividends as information gathered from one area can be valuable to another.

## WHAT CHECKS DO DD360™ INCLUDE?

CRI® investigators employ a proven, multi-faceted research approach that involves a global array of databases, courts and public record searches, local contacts, industry and media resources, and in-depth web-based research. Our resources include:

- ✓ **International business verification**
- ✓ Individual business **interest search**
- ✓ **Personal profile** on individual subjects
- ✓ **Company profile** on corporate entities
- ✓ Historical **ownership analysis**
- ✓ **Identification of subsidiaries** & connected parties
- ✓ Global/national **criminality & regulatory records checks**
- ✓ **Politically Exposed Person global database**
- ✓ **Ascertain ultimate beneficial ownership (UBO)**
- ✓ International **digital media research**
- ✓ Company **background analysis**
- ✓ Industry **reputational assessment**
- ✓ **FCPA, UK Anti-Bribery & corruption risk databases**
- ✓ Global **terrorism checks**
- ✓ Global **financial regulatory authorities checks**
- ✓ **Money laundering risk database**
- ✓ **Financial reports**
- ✓ **Asset tracing**
- ✓ **Country-specific databases** that include litigation checks, law enforcement agencies & capital market regulators

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## PARTIES OF INTEREST

- ✓ Joint Venture Partners
- ✓ Third-Party Affiliates
- ✓ Technology Vendors
- ✓ Brokers
- ✓ Suppliers
- ✓ Business Services Vendors
- ✓ Distribution Channel Partners
- ✓ Agents
- ✓ Distributors
- ✓ Advisers
- ✓ Any Other Entity Wishing To Transact With Your Business

→ The reality of proper due diligence is complex we know, find out [Due Diligence's 4 Red Flags of Collusion?](#)

**OUR DUE DILIGENCE 360™ SERVICE IS FLEXIBLE AND CAN APPLY DIFFERENT LEVELS OF SCRUTINY TO THE SUBJECTS OF OUR ASSIGNMENTS, ACCORDING TO CLIENT NEEDS AND THE NATURE OF THE PROJECT.**

→ For more on due diligence and other related resources, check out our DueDiligence360™ brochure.

**FULL BROCHURE HERE!**

# THIRD-PARTY RISK MANAGEMENT

## DOES YOUR ORGANISATION...

- ✓ Lack of visibility or understanding of the danger of the risks posed by your relationships with many types of third parties?
- ✓ Want greater visibility into third-party performance & risks?
- ✓ Need to improve operational costs, process, efficiencies, & organisational agility associated with your third-party relationships?
- ✓ Need to gain greater control over the related risks?
- ✓ Want to be confident that third parties are compliant with your business' policies and their own—based on government regulations & industry requirements?

If yes, you need a third-party risk management (TPRM) solution.

**GET A FREE QUOTE NOW!**

**CRI® Group's own exclusive, expert-developed 3PRM-Certified™ services help you proactively mitigate risks from third-party affiliations, protecting your organisation from liability, brand damage and harm to the business.** Whether your organisation has a large, well-established third-party program, is in the early stages of development, or is anywhere in between, the 3PRM-Certified™ solution can improve the health of your program and future-proof your entire business in many forms.

### **3PRM-Certified™ IS ESPECIALLY CRITICAL WHEN YOUR BUSINESS:**

- Performs pre-merger & acquisition research
- Conducts due diligence
- Engages new clients
- Employs, contracts or retains foreign business partners
- Requires a consistent & audit-worthy anti-money laundering & anti-corruption compliance program

### **WHEN IMPLEMENTING 3PRM™ YOU CAN FOCUS ON:**

- Providing third-party risk assessments
- Meeting contracting requirements
- Conducting integrity due diligence
- Providing management oversight

### **AND AVOID:**

- Merging with an international business embroiled in behind-the-scenes legal battles
- Getting caught up in making procurement decisions involving the inappropriate influence of government officials who were slated to receive kickbacks
- Partnering with organisations that are potential credit risks, have claimed bankruptcy, have dissolved stated companies or are faced with debtor filings
- Awarding work to an overseas contractor with absolutely no prior experience
- Affiliating with a contracting company owned by a politician with significant influence on future awards

## **A CUSTOMISED SOLUTION THAT BEST SUITS YOUR NEEDS!**

Our 3PRM-Certified™ solution streamlines the third-party risk management process through scalability, and efficiencies - from third-party risk identification to assessment. From cybersecurity to anti-bribery, our solution is flexible and responsive to the various risk domains that are most important to your business. What sets us apart is that our 3PRM-Certified™ solution includes:

- ✓ Due Diligence
- ✓ Screening & Background checks
- ✓ Business Intelligence: information management
- ✓ Investigations: i.e. IP, fraud, conflict of interest, etc
- ✓ Regulatory compliance
- ✓ Anti-bribery & Anti-corruption (ABAC) compliance
- ✓ Employee auditing training & education
- ✓ Monitoring & reporting

**GET A FREE QUOTE NOW!**



Scan for a free quote on our 3PRM-Certified™ service or go to: [crigroup.com/third-party-risk-management](http://crigroup.com/third-party-risk-management)

[f](#) [t](#) [in](#) [o](#) [e](#) [info@crigroup.com](mailto:info@crigroup.com)

## 3PRM-Certified™ FUNDAMENTALS

When dealing with third-party risk management, we first develop a risk management process within the environment and then apply the same arrangement to third parties. A simple step-by-step process will help explain how:

PHASE 01 IDENTIFY VULNERABILITIES	PHASE 02 CONTRACTING REQUIREMENTS	PHASE 03 CONDUCTING DUE DILIGENCE	PHASE 04 MANAGEMENT OVERSIGHT
Risk assessment should evaluate:	Third-party contracts should address:	Evaluation of potential business partners should include:	The key elements of a successful business relationship:
Audit & supervision functions	Quality	Business & operations	Manage
Business continuity plans	Price	Financial condition & reputation	Monitor
Supply chain alternatives	Reliability	Experience, culture, vision & business style	Maintain
Jurisdictional considerations	Financial Viability	References & government records	
Data & IP protection	Security of information	Background checks	
Whistleblower policies	Other details within the scope of the contract	Insurance & certifications	

Due Diligence on potential third-party providers is critical to confirm the legitimacy and reduce the risks associated with such business relationships. The above diagram illustrates third-party risk management and due diligence fundamentals. These fundamentals encapsulate Background Screening and regulatory compliance certification, program implementation and employee education and training. Furthermore, it also includes CRI® Group's comprehensive commercial investigation services, which involve reviewing all relevant information concerning virtually any business on the planet to ascertain past business dealings, criminal records, executive stability and suspect associations.

→ Our 3PRM-Certified™ program is only one of several vital steps towards implementing robust risk management strategy. As defined in ISO 31000, risk management identifies, evaluates, and prioritises risks. And risks can come from various sources, including your employees. Getting started with ISO 31000 Risk Management? Learn more with our [FREE "GETTING STARTED WITH RISK MANAGEMENT? Playbook"](#) or [sign up for ISO 31000 Awareness training course](#) offered under ABAC® Centre of Excellence, an independent certification body established for ISO training and certification. an independent certification body established for ISO training and certification.

## 3PRM-Certified™ AREAS OF MONITORING INCLUDE:

- ✓ Supplier and vendor information management,
- ✓ Corporate and social responsibility compliance
- ✓ Supplier Risk Management
- ✓ IT vendor risk
- ✓ Performance measurement
- ✓ Contract risk management

The role or size of the third-party is not as important as the nature of the relationship they have with your business. Think of your third party level of access to your sensitive data or your property! A cleaning company with access to your filing cabinet represents a different but still significant risk. And remember, you are accountable for the inappropriate actions of any of your third-parties.

**OUR 3PRM-Certified™ PROGRAM PROVIDES A PROACTIVE APPROACH TO MITIGATING RISKS FROM THIRD-PARTY AFFILIATIONS, PROTECTING THE ORGANISATION FROM LIABILITY, BRAND DAMAGE & HARM TO BUSINESS.**

→ For more on third-party risk management and other related resources, check out our 3PRM-Certified™ full brochure.

**FULL BROCHURE**

# EMPLOYEE SCREENING

with  **EMPLOYSMART™**  
Smarter Background Checks Today for a Better Workforce Tomorrow

## YOU SECURE THEIR FUTURE. WE SECURE THEIR PAST.

How do you know the candidate you just offered a role to is ideal? Are you 100% sure you know that everything they're telling you is the truth? 90%? They showed you a diploma; how do you know it's not photoshopped? Did you follow the correct laws during your background checks process?

Background checks and necessary screenings are vital to avoid horror stories and taboo tales within HR, your business or even your brand - simply investing insufficient screening can save you time, money and heartbreak.

CRI® has developed EmploySmart™, a robust new pre-employment background screening service to avoid negligent hiring liabilities. Ensure a safe work environment for all - EmploySmart™ can be tailored into specific screening packages to meet the requirements of each specific position within your company. We are a leading worldwide provider, specialising in local and international employment background screening, including pre-employment screening and post-employment background checks.

## WHAT ARE PRE-EMPLOYMENT CHECKS?

These checks are essentially an investigation into a person's character - inside and outside their professional lives. Some checks you probably already carry out in-house, such as candidate's qualifications (documents provided), work history (with a reference check), right to work in the country and even a quick social media presence scan. However, we provide a full in-depth background screening service for candidates and employees at all levels - from senior executives through to shop-floor employees:

- Address Verification (Physical Verification)
- Identity Verification
- Previous Employment Verification
- Education & Credential Verification
- Local Language Media Check
- Credit Verification & Financial History (where publicly available)
- Compliance & Regulatory Check
- Civil Litigation Record Check
- Bankruptcy Record Check
- International Criminal Record Check
- Integrity Due Diligence
- ... and more.

**GET A FREE QUOTE NOW!**

## WHY IS IT IMPORTANT?


These checks can reduce the risk of hiring someone who could cause irrevocable damage. Firms spend thousands, thousands, even millions to brand their products and services and one bad hire can cause loss of capital and reputation to the extent that a business may fail. A robust pre-employment check can help you and your company:

- ✓ **Reduce turnover & training costs**
- ✓ **Gain competitive edge** through the hiring of better people
- ✓ **Increase productivity** - help your employees be more productive knowing that everyone employed by your company has been screened
- ✓ Set your company apart & **win more business**
- ✓ **Reduce employee related problems**
- ✓ **Protect** company reputation/brand & customer relations
- ✓ **Comply** with mandates created by state or federal law for certain industries
- ✓ **Increase retention**
- ✓ **Reduce negligent hiring claims**
- ✓ **Avoid violence** in the workplace (threats of violence & actual violence)
- ✓ **Reduce theft & espionage**
- ✓ **Avoid lawsuits** & the costs associated with the defence
- ✓ **Avoid loss** of goodwill

A business must understand its exposure, type of insurance coverage and limits - does your policy cover a negligent hiring lawsuit? The checks are critical to any company's success - hiring qualified, honest, and hard-working employees is an integral part of thriving in the business community.



Scan for a free quote on EmploySmart™ or go to to:  
[crigroup.com/employee-background-checks](http://crigroup.com/employee-background-checks)

 [info@crigroup.com](mailto:info@crigroup.com)



## DO YOU UNDERSTAND EVERY SPECIFIC LEGAL REQUIREMENT WHEN SCREENING YOUR WORKFORCE GLOBALLY?

You will need to check if they have the right to work in the region you are recruiting for. You are subject to statutory penalties if you employ foreign nationals who don't have the correct visas - the onus is on you to check. You will need to request criminal records checks depending on the role you are recruiting, such as roles with children or vulnerable people, which are highly regulated - and all of these differ from country to country.

CRI® carry the burden of knowing the laws, so we can assist you with staying compliant and helping you make the best decisions for your company's needs. We have established an interdisciplinary team of experts in employment law, best practice and data protection.

**We can manage your employment background screenings across borders for you!** We provide a comprehensive and fully compliant global screening service with extensive local language capabilities, flexible working patterns, and intelligent time-zone workflow. Country by country, we have documented the different approaches to employment screening, ensuring we operate in harmony with local culture and within the limitations of local legislation.

**GET A FREE QUOTE NOW!**

## BS 7858:2019 VETTING, A NEW WAY TO MITIGATE EMPLOYEE RISK

The recent update of the BS7858 standard, "Screening of Individuals Working in a Secure Environment – Code of Practice," focuses on the need for tighter controls over the pre-employment screening – and periodic re-screening – of individuals, who in their positions could potentially benefit from illicit personal gain, become compromised, or take advantage of other opportunities for creating breaches of confidentiality, trust or safety.

This British Standard helps employers to screen personnel before they employ them. It gives best-practice recommendations and sets the standard for screening staff in an environment where the safety of people, goods or property is essential. This includes data security, sensitive and service contracts and confidential records. It can also be applied to situations where security screening is in the public's interest. It sets out all the requirements to conduct a screening process. It covers ancillary staff, acquisitions and transfers, and the security conditions of contractors and subcontractors. It also looks at information relating to the Rehabilitation of Offenders and Data Protection Acts.

When it comes to providing information security, financial audits, risk assessments, background checks, due diligence and a wide range of anti-fraud related services, maintaining the highest training and expertise is an absolute must. That's why CRI®

achieves critical certifications from the British Standards Institute (BSI), the National Association of Background Screeners (NAPBS) and other preeminent groups in the security and anti-fraud field as part of the company's commitment to its clients.

**CRI® is the first and only investigative research company in the Middle East to receive the certifications BS7858:2019, Screening of Individuals Working In a Secure Environment and BS102000:2013, Code of Practice for the Provision of Investigative Services, and from internationally recognised training and certification body BSI.**

→ To find out more about BS7858:2019 vetting just check out our [free playbook!](#)

**GET A FREE QUOTE NOW!**



**OUR SCREENING SERVICES COVER THE ENTIRE EMPLOYEE LIFECYCLE, FROM RECRUITMENT TO IN-DEPTH REFERENCES. WE HELP YOU ESTABLISH THEIR SUITABILITY FOR EMPLOYMENT.**

→ For more on employee background screening and other related resources, check out our EmploySmart™ brochure.

**FULL BROCHURE**

# BUSINESS INTELLIGENCE

✓ MARKET RESEARCH & ANALYSIS

✓ COMMERCIAL INVESTIGATIONS

## INFORMATION IS ONE OF YOUR MOST VALUABLE ASSETS IN A BUSINESS.

Take steps now to safeguard it.

Business Intelligence is most effective when it combines data from the market in which your business operates (external) with data from within, such as financial and operations data (internal). When combined, this data can provide a complete picture to make any business decision ranging from operational to strategic - such as product positioning or pricing.

Business Intelligence Solutions take many shapes and forms in today's complex business environment. Budgets are stretched, and the challenges facing a business and its employees can sometimes lead to issues that start small but then lead to wider spread problems that can affect your organisation's very fabric and damage both your credibility, reputation, and bottom line profits.

**GET A FREE QUOTE NOW!**

## CRI® TAKES TWO APPROACHES TO BUSINESS INTELLIGENCE SOLUTIONS:

- 1 INVESTIGATIVE OPERATIONS (via commercial investigations):** we focus on the current status of your business (location of assets, financial information, identifying the unmet needs of any market, gauging brand awareness and identity in the market, etc.)
- 2 INTELLIGENCE OPERATIONS (via Market Research & Analysis):** we focus on researching the future and potential growth of your business - i.e. determine the commercial viability and potential for success in the market; Analyse consumer behaviour and business trends in that market

## OUR EXPERTS CAN HELP YOU:

- 1 Identify** the unmet needs of any market
- 2 Analyse** consumer behaviour & business trends in that market
- 3 Gauge** brand awareness & identity in the market
- 4 Determine** the commercial viability & potential for success in the market
- 5 Gather the facts** you need to make critical business (i.e. Mergers & Acquisitions)

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Scan for a free quote on Business Intelligence, or go to to:  
[crigroup.com/business-intelligence](http://crigroup.com/business-intelligence)

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## COMMERCIAL INVESTIGATIONS

Are you worried about Employee Theft, Company Fraud, competition, constant absenteeism or Industrial Espionage? Some of these can start on a relatively small scale. Still, as time progresses and the perpetrator becomes bolder in their endeavours (normally due to a lack of detection), the situation can soon become out of control and damage your business.

As a business, you have every right to worry about what is happening inside your company and, therefore, conduct commercial investigations on your employees. Our team (from a range of different backgrounds, including military and fraud investigators) can help you ethically do this and will provide you with the information you so desperately seek. Commercial investigations can be completed for any type of business, including commercial, industrial, legal and public sector companies.

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## MARKET RESEARCH & ANALYSIS

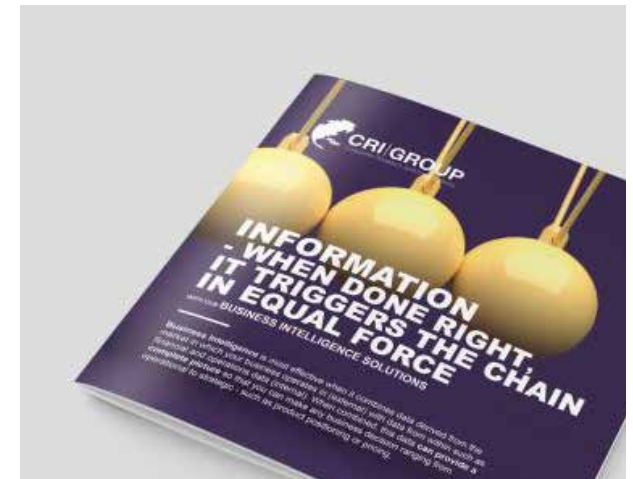
Research & Analysis services are designed to help clients at all stages of the business intelligence process, from identifying what is needed for proper business strategy planning to the final stages of implementation.

CRI® is uniquely positioned to help you navigate cultural and regulatory landscapes to maximise your market potential in the places you seek to do business.

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### WARNING SIGNS MIGHT INCLUDE:

- 1 Individuals with large debts who may be looking for personal gain
- 2 Transactions that take place at unusual times, with odd amounts of money going to conspicuous recipients
- 3 Missing documents with only photocopies available
- 4 Missing documents with only photocopies available
- 5 Alterations and back dating on documents
- 6 Employees that control a process from start to finish with nobody else involved
- 7 Discrepancies in accounting records



**WE HELP YOU  
MITIGATE RISKS  
& TO INFORM  
YOUR STRATEGIC  
DECISION-MAKING.**

→ For more check out our full Business Intelligence solutions brochure.

[FULL BROCHURE](#)

OFFERED BY OUR PARTNER:



# ISO TRAINING & CERTIFICATION

- ✓ ISO 37001 ANTI-BRIBERY MANAGEMENT SYSTEM
- ✓ ISO 37301 COMPLIANCE MANAGEMENT SYSTEM
- ✓ ISO 37000 GOVERNANCE OF ORGANISATIONS
- ✓ ISO 31000 RISK MANAGEMENT SYSTEM
- ✓ ISO 37002 WHISTLEBLOWING MANAGEMENT SYSTEMS
- ✓ INTEGRATED MANAGEMENT SYSTEMS (IMS)

## GIVE YOUR BUSINESS A COMPETITIVE ADVANTAGE -WITH ISO

ISO stands for International Organisation for Standardisation, which talks about the system's basic requirements that should be documented and followed rigorously within the company's scope. ISO has developed over 23147 International Standards. These systems can be for Quality/ Environment/ Safety or any other aspects of a company.

ABAC® works with clients of all industries, sizes and organisation types to develop robust programs using the latest techniques and best practices that help foster an ethical business culture.

Certification to ISO standards or British standards demonstrates that your organisation works to international or national best practices, demonstrating your credibility and competence to stakeholders and customers.

Certifications are legally obligated in some industries, while they are often included in tender requirements in other industries. The guidelines set forth by the standards apply to all types and sizes of organisations and aren't restricted by industry, risk exposure or geographic reach.

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## WHAT ARE THE BENEFITS OF ISO CERTIFICATION AND/OR TRAINING?

- ✓ **Get government tenders:** ISO certificate is now required in most government tenders.
- ✓ **Build credibility internationally:** ISO certification helps your organisation help credibility to build an overseas business.
- ✓ **Better customer satisfaction:** ISO standards enable an organisation to serve their customers better and increase customer satisfaction. ISO certification enhances customer satisfaction by meeting customer requirements.
- ✓ **Improve product quality:** Since product quality matches the international level, this can reduce the risk of order rejections due to the flaw in the product.
- ✓ **Improve business efficiency:** ISO certification implementation enhances the functional efficiency of an organisation. ISO certifications help manage the resources effectively, as you can utilise all your resources to their maximum extent.
- ✓ **Improve ISO certification agency helps you develop SOP's & work Instructions for all your processes. marketability:** ISO certification helps improve the credibility of business with a current & new client, which leads to creating a niche market for business.



Scan for a free quote on ISO Certification & Training, or go to: [abacgroup.com](http://abacgroup.com)

[f](#) [in](#) [info@abacgroup.com](mailto:info@abacgroup.com)



# INTRODUCING ISO 37001:2016 ANTI-BRIBERY MANAGEMENT SYSTEM

ISO 37001:2016 standard - helps organisations promote an ethical business culture. Designed to help your organisation implement an ABMS and/or enhance your current controls. It helps to reduce the risk of bribery. These measures involve top-level leadership, training, bribery risk assessment, due diligence adequacy, financial and commercial controls, reporting, audit, and investigation.

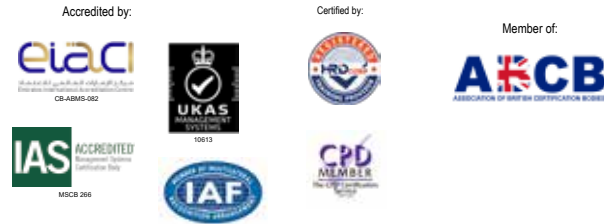
This standard mirrors numerous steps contained in the U.S. Foreign Corrupt Practices Act (DOJ and SEC) and Good Practice Guidance on Internal Controls, Ethics and Compliance (OECD), Anti-Corruption Ethics and Compliance Handbook for Business (OECD), U.K. Bribery Act 2010 and the British Ministry of Justice's Adequate Procedures document.

Our ABAC® program helps ensure that your business has implemented a management system that prevents, detects and responds to bribery and complies with anti-bribery laws, internally and externally (i.e. agents, consultants, suppliers, distributors and other third-parties).

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- ✓ **Build your competence level & prepare your company,** organisation or department for a successful implementation of ISO 37001 standard
- ✓ **Provide** your company, customers, stakeholders, employees, & partners with **confidence** in your business operations & ethics
- ✓ **Create new & better business partnerships** with entities that recognise your certified status, including supply chain manufacturing, joint ventures, pending acquisitions & co-marketing alliances
- ✓ **Provide evidence** to prosecutors & courts that you & your organisation have taken reasonable steps to prevent bribery & corruption
- ✓ **Gain a competitive edge** over non-certified organisations & other professionals in your industry or niche
- ✓ Potentially **reduce corporate insurance premiums**



## A COMPANY'S OWN EMPLOYEES ARE ITS BEST PROTECTION AGAINST CORRUPTION

Statistics show that most corruption is detected internally. Training is a proactive way of demonstrating your organisation's commitment to ethical sustainability. Give your employees the tools they need to prevent bribery and mitigate related risks - achieving:

- ✓ [ISO 37001 AWARENESS](#)
- ✓ [ISO 37001 INTERNAL AUDITOR](#)
- ✓ [ISO 37001 LEAD AUDITOR](#)

**Our trainers are the best in the business, and our e-learning platform is the most user-friendly.**

[READ MORE ON TRAINING OPTIONS!](#)

Risk management is a full-time, ongoing endeavour for organisations in today's business world, and it poses constant challenges. Unfortunately, fraud, bribery, and corruption are major factors affecting businesses and agencies. Being proactive against these risks can mean the difference between success and ruin.

The Risk Management and ABMS Playbook provide tools, checklists, case studies, FAQs and other resources to help you lead your organisation into better preparedness and compliance. Our experts share their plays to help you reduce risk, thereby preventing and detecting more fraud. The first section addresses risk management directly: proper third-party risk management and due diligence take centre stage for this game plan. Section two tackles bribery and corruption, with tried-and-true measures you can implement to stay better protected and comply with strict laws and regulations.

[READ MORE](#)



# INTRODUCING ISO 37301:2021 COMPLIANCE MANAGEMENT SYSTEM

Good corporate governance begins at the top, with a strong leadership desire to demonstrate commitment to compliance by providing resources, management and oversight of the compliance management system (CMS).

ISO 37301 is a Type A management system standard that sets out the requirements and provides guidelines for establishing, developing, implementing, evaluating, maintaining, and continually improving a CMS. A CMS offers organisations with a structured approach to meet all compliance obligations, i.e., requirements that they mandatorily have to comply with such as laws, regulations, court rulings, permits, licenses, as well as those that they voluntarily choose to comply with such as internal policies and procedures, codes of conduct, standards, and agreements with communities or NGOs.

**SO MANY LAWS AND REGULATIONS.  
SO FEW RESOURCES ARE AVAILABLE TO ENSURE COMPLIANCE.**

The challenge comes in interpreting and complying with the various laws, standards, codes, and rules that companies face locally, nationally. Because of these challenges, you must develop a robust internal system to safeguard your organisation. The guidelines outlined in the internationally accepted ISO 37301 CMS represent the first step in developing a framework that protects the organisation from falling victim.

ISO 37301 covers all compliance-related issues, including anti-trust, fraud, misconduct, export control, anti-money laundering, and other unexpected risks which might affect your business.

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[DOWNLOAD BROCHURE](#)

## WHAT THE COMPLIANCE FUNCTION SHOULD BE RESPONSIBLE FOR

- ✓ Identifying compliance obligations & translating those obligations into actionable policies & procedures
- ✓ Developing & implementing processes for managing information (i.e. hotlines, whistleblowing lines & other mechanisms)
- ✓ Putting in place a compliance reporting & documenting system
- ✓ Promoting the inclusion of compliance responsibilities into position descriptions & employee performance-management processes
- ✓ Identifying compliance related risks & managing resulting compliance obligations relating to third parties (i.e. suppliers, agents, distributors, consultants & contractors)
- ✓ Integrating compliance obligations into existing practices & procedures
- ✓ Providing objective advice to the organisation on compliance-related matters
- ✓ Ensuring there is access to appropriate professional advice in the establishment, implementation & maintaining of the management system
- ✓ Providing employees with access to resources on compliance procedures & references
- ✓ Ensuring the compliance management system is reviewed on a regular basis
- ✓ Providing or organising ongoing training & support for employees on a regular basis
- ✓ Establishing compliance performance indicators, monitoring & measuring compliance performance
- ✓ Analysing performance to identify the need for corrective actions

**The first step of demonstrating your and/or your organisation's commitment to implementing an effective compliance management system is to commit to ISO 37301 certification.**

ABAC® program is designed to assist in developing processes and systems that help your organisation effectively manage compliance risks and foster a system-wide culture of business integrity.

[GET A FREE QUOTE NOW!](#)



# INTRODUCING ISO 31000:2018 RISK MANAGEMENT - GUIDELINES

ISO 31000:2018 is an international standard that provides principles and guidelines for effective risk management. It outlines a generic approach to risk management, which can be applied to different types of risks (financial, safety, project risks) and used by any type of organisation.

The standard provides a uniform vocabulary and concepts for discussing risk management. It provides guidelines and principles that can help undertake a critical review of your organisation's risk management process.

## THE 31000 STANDARD INNOVATES IN SEVERAL AREAS:

- ✓ It provides a new definition of risk as the effect of uncertainty on the possibility of achieving the organisation's objectives, highlighting the importance of defining objectives before attempting to control risks, and emphasising the role of uncertainty
- ✓ It introduces the notion of risk appetite, or the level of risk which the organisation accepts to take on in return for expected value
- ✓ It defines a risk management framework with different organisational procedures, roles and responsibilities in the management of risks
- ✓ It outlines a management philosophy where risk management is seen as an integral part of strategic decision-making and the management of change

## LEVERAGE ISO 31000:2018 TO IMPROVE YOUR BUSINESS CONTINUITY MANAGEMENT PROGRAM...

Following ISO 31000 standard means protecting your organisation from potential risks that could endanger the operational efficiency, governance, and stakeholders' confidence. It will help strengthen and achieve your organisation's strategic objectives by establishing a risk-based system of values whilst ensuring the consistency and effectiveness of management across all its areas such as IT, HR, compliance, quality, health and safety, business continuity, etc. Enable your organisation to:

- ✓ Enhanced risk management will support achieving goals & objectives
- ✓ Reduce costs through proper risk management
- ✓ Respond to change effectively & find viable solutions
- ✓ Increase the likelihood of achieving objectives
- ✓ Productively identify the opportunities & threats
- ✓ Gain stakeholder confidence & trust
- ✓ Create a consistent basis for decision making & planning
- ✓ Identify & mitigate the risk throughout the organisation
- ✓ Create & protect value

**The first step of demonstrating your and/or your organisation's commitment to implementing an effective Risk Management Strategy to commit to ISO 31000.**

ABAC® program is designed to assist in developing processes and systems that help your organisation effectively manage compliance risks and foster a system-wide culture of business integrity.

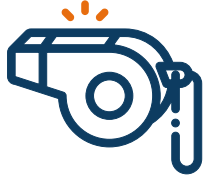
[GET A FREE QUOTE NOW!](#)



Managing risk effectively is essential to ensure businesses succeed and thrive in constant uncertainty. ISO 31000 aims to simplify risk management into a set of clearly understandable and actionable guidelines that should be straightforward to implement, regardless of a business's size, nature, or location.

ISO 31000:2018 serves as a guide for the design, implementation and maintenance of risk management; ISO 31000:2018 describes a systematic and logical process during which organisations manage risk by identifying it, analysing it, and then deciding as to mitigating the risk treatment in a way that is consistent with their risk appetite. This FREE ebook is the perfect tool to learn more about how an organisation can implement risk management across the entire company.

[DOWNLOAD NOW!](#)



# INTRODUCING ISO 37002:2021 WHISTLEBLOWING MANAGEMENT SYSTEMS

ISO 37002 standard provides the proper guidance for your organisations to create a whistleblowing management system based on trust, impartiality and protection!

The International Organization for Standardization (ISO) has developed new guidelines for whistleblowing management systems — ISO 37002.

ISO 37002:2021 provides guidelines for implementing, managing, evaluating, maintaining and improving a robust and effective whistleblowing management system. But what does it mean in practice, and how can it improve and add value to an organisation's existing whistleblowing programme?

The **ISO Whistleblower Guidelines** provide advice to organisations for establishing, implementing, maintaining and improving a whistleblowing management system, with the following outcomes:

- ✓ Encouraging and facilitating reporting of wrongdoing ensuring reports of wrongdoing are dealt with in a proper and timely manner, improving organisational culture and governance
- ✓ Supporting and protecting whistleblowers and other interested parties reducing the risks of wrongdoing

LET'S TALK ABOUT CERTIFICATION

## WHAT IS THE DIFFERENCE BETWEEN ISO 37002 STANDARD AND THE OTHER ISO STANDARDS THAT ADDRESS COMPLIANCE ISSUES?

The ISO Whistleblower Guidelines use the 'harmonised structure' (i.e. clause sequence, common text and common terminology) developed by ISO to improve alignment among international standards for management systems. So, if you are familiar with the anti-bribery management standard (ISO 37001), these guidelines will be very easy to understand. The similar format, sections, definitions, and layout make it easier for those familiar with the ISO structure.

## ABAC® IS NOW OFFERING CERTIFICATION/TRAINING ON ISO 37002. BY THE END OF THIS ISO 37002 TRAINING COURSE, DELEGATES WILL BE ABLE TO:

- Understand the basics of the concepts, approaches, standards, methods and techniques for the effective implementation of the whistleblowing programme
- Develop the necessary presentation and leadership skills enabling you to communicate your whistleblowing programme? findings to all levels and all stakeholders
- Determine your organisation's appetite and tolerance for risk
- Understand the basics of how Whistleblower relate to crisis management, business continuity and other key business areas

The ISO 37002 Whistleblower training course includes activities that allow your team to discuss the risks within your organisation and how to mitigate these. The course aims to improve the culture in every organisation so that employees feel comfortable whistleblowing where necessary. To enhance the learning experience, the course contains real-life scenarios where whistleblowing has helped prevent disaster and examples where whistleblowing could have helped prevent disasters. For more on a tailored package, contact us now!

SEE TRAINING OPTIONS





# INTRODUCING ISO 37000:2021 GOVERNANCE OF ORGANISATIONS

ISO 37000 – the first-ever international benchmark for good Governance. ISO 37000:2021 Governance of Organisations — Guidance provides organisations and their governing bodies the tools they need to govern well, enabling them to perform effectively while behaving ethically and responsibly.

ISO 37000:2021 makes it possible to meet your business and financial goals and still behave ethically and responsibly.

In the eyes of your stakeholders, business success has become much more advanced than just production efficiency, making a profit, and keeping investors happy. To truly succeed, today's business organisations must satisfy a broader base of stakeholders, placing more burden on the business to meet a growing list of ethical, social and environmental responsibilities.

ISO 37000:2021, “Governance of Organisations – Guidance,” strives to help businesses handle that increasing burden by providing guidelines and guidance on best practices related to good Governance, which can help position the organisation as highly ethical and gain credibility, integrity and a competitive edge in the marketplace.

ABAC®, powered by CRI® Group, is designed to assist in developing processes and systems that help your organisation effectively manage compliance risks and foster a system-wide culture of business integrity. Comply with confidence with ISO 37000!

[GET A FREE QUOTE NOW!](#)

[Training Services]



## WHY CHOOSE ISO 37000 CERTIFICATION?

Organisations of any type or size can benefit greatly by practising good Governance, starting with highly effective performance, responsible stewardship and ethical behaviour. And those benefits extend further, as the practice of good Governance (and the resulting compliance certification):

- ✓ Helps increase **organisational effectiveness**, sustainability, accountability and fairness.
- ✓ **Fortifies systems, methods and processes** to spot red flags and help avoid significant incidents.
- ✓ Builds a **higher level of trust** among stakeholders, shareholders and the public.
- ✓ **Demonstrates that the organisation is acting** with integrity, transparency and accountability.
- ✓ **Establishes credibility** in the marketplace as the organisation is viewed as making a conscientious investment in responsible management.
- ✓ Provides a **competitive advantage** among potential business partners who perceive a well-governed organisation.
- ✓ **Mitigates the potential risk** of failure within the organisation's systems.
- ✓ Conforms to the **internationally recognised and widely advocated regulatory requirements** of an effective corporate compliance program.
- ✓ Helps establish an **integrated management system basis** that lends to conformity with other standards such as ISO 37002:2021 (Whistleblowing Management Systems), ISO 37301 (Compliance Management Systems) and ISO 37001:2016 (Anti-Bribery Management System).

# INTRODUCING INTEGRATED MANAGEMENT SYSTEMS (IMS)

DISCOVER HOW TO DEMONSTRATE CORPORATE COMPLIANCE PROGRAMME WITH AN INTEGRATED MANAGEMENT SYSTEMS INCLUDING: ISO 37001:2016 ANTI-BRIBERY MANAGEMENT SYSTEMS | ISO 37301:2021 COMPLIANCE MANAGEMENT SYSTEMS | ISO 37002:2021 WHISTLEBLOWING MANAGEMENT SYSTEMS

Integrated Management Systems (IMS) merges your ISO management processes into one convenient system, reducing hassle, cutting waste and refining compliance. With pre-defined processes and procedures, it gives businesses like yours a helping hand in achieving best practices for quality, environmental impact, occupational health and safety, and many more.

You can easily coordinate multiple business operations with just one system to oversee, saving time and unnecessary work. This will positively impact your efficiency by boosting output through fewer processes and maximising the use of your internal resources. By conducting one audit and one management review, you can save time and money, and senior staff can move on to other essential tasks. In other words, it's a time-saver as well as a money-maker.

GET A FREE QUOTE NOW!

## WHAT ARE THE BENEFITS OF AN IMS?

Management systems with common points, tasks and processes can be combined and managed as one for streamlined efficiency. But what boost can this give your business?

- ✓ **Performance:** Push your business with improved management processes. With streamlined procedures and continual improvement, your business can benefit from better quality, improved health and safety and increased productivity.
- ✓ **Streamlined management:** Take advantage of aligned objectives and processes and tackle them simultaneously for streamlined efficiency.
- ✓ **Faster Implementation:** With just one system, you can develop and implement new management systems in far less time, allowing you to start reaping the rewards of greater efficiency more quickly.
- ✓ **Co-operation and coordination:** Coordinate your efforts towards continual improvement and use your multiple Standards to support your company's growth.
- ✓ **Cost-effective solution:** Shared tasks and processes mean the cost of implementing and maintaining your system could positively affect your costs. By conducting just one audit and one management review, you can save time and money.
- ✓ **Consistency:** Integrating your systems strips away complexity. It will make common business goals stand out and give your business clearer, more defined objectives for success. You can make your procedures more logical and coherent with aligned systems and shared processes.

GET A FREE QUOTE NOW!

# LET'S TALK

If you'd like to discuss your Risk Management need, we'd love to hear from you.

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